



ATTACHMENT C
Revised report of monitoring findings FFY 2004 – FFY 2007

DHEC reviewed all reports of findings identified through monitoring visits conducted between July 1, 2004 and June 30, 2008 to eliminate all “findings” not directly related to noncompliance with IDEA Part C. This review was completed with guidance and assistance of OSEP consultants. Revised findings were based on the standards in TABLE B-1b.

Guidelines for revised FFY 2004 – FFY 2008					
FFY 2004-FFY 2007					
Indicator / Requirement		Target	Definition of a “finding of noncompliance”	Standard for correction of findings	
1	Timely services	100%	Documented evidence that all IFSP services were initiated within 30 days of IFSP completion in at least 12 of 15 records reviewed.	No standards in place at time of monitoring. Correction will be determined based on data system as outlined below, or, if correction can't be documented through the data system, through record reviews. The protocols for the record review component of the BabyNet general supervision system will be completed by March 31, 2009. Reviews for services provided in FFY 2008 will be completed by September 2009. (See description of BabyNet general supervision plan in FFY 2007 APR Indicator 9)	
7	Timely IFSP completion	100%	Documented evidence that IFSP completed within 45 days of referral date in at least 12 of 15 records reviewed		
8a	Transition planning on IFSP	100%	Documented evidence of transition steps and services on IFSP in at least 12 of 15 records reviewed.		
8c	Transition conference	100%	Documented evidence of completion of transition conference no later than 90 days before the child's third birthday in at least 12 of 15 records reviewed.		
7	Comprehensive evaluation	100%	Documented evidence comprehensive evaluations in five developmental domains in at least 12 of 15 records reviewed.		
FFY 2008					
Indicator / Requirement		Target	Definition of a “finding of noncompliance”	Standard for correction of findings	
				Full correction*	Adequate progress*
1	Timely services	100%	< 75% of eligible infants and toddlers with IFSPs for whom IFSP services were provided within 30 days of IFSP completion*	100% any month; ≥ 95% any two months in 6-month period; or ≥ 95% over 6- or 12-month period	≥ 85% over 6-month or 12-month period
7	Timely IFSP completion	100%	< 80% of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.	100% any month; ≥ 95% any two months in 6-month period; or ≥ 95% over 6- or 12-month period.	≥ 80% over 6- or -12 month period
8c	Transition conference	100%	< 85% of all children exiting Part C with transition conference (excluding those exiting prior to third birthday).	100% any month; ≥ 95% any two months in 6-month period ≥ 95% over 6- or 12-month period	≥ 95% over 6- or -12 month period

(*July 1-Dec 31 or Jan 1-June 30)

Identification/Notification of Non-Compliance			CORRECTION		EXPLANATION
Region	Date	Finding	Date Corrected	≤ 1 year	
Region 7 (Trident District)	Oct 2004	Trident did not ensure that IFSP meetings were held to develop the initial IFSP within the 45 day time period required in accordance with §303.342.	Dec 2007	No	BabyTrac data shows that 100% compliance achieved Dec 2007.
Region 7 (Trident District)	Oct 2004	IFSPs did not include steps to be taken to transition children to preschool or other appropriate service as required in §303.344 (h).	Aug 2006	No	This was a <i>systemic</i> problem requiring statewide procedural and IFSP form updates. The new form includes a specific section for documenting transition plans beginning with initial IFSP. Subsequent verification through regular record reviews by system manager and regional consultant during FFY 2006 and continuing in FFY 2007.
Region 7 (Trident District)	Oct 2004	Trident did not convene a transition conference for children potentially eligible for Part B not less than 90 days before the child was eligible for preschool services as required under §303.148(2) (i)	--	No	Correction activities in progress include consultation and technical assistance by regional consultants and program managers and methods described in APR for Indicator 8c.
Region 7 (Trident District)	Oct 2004	All children were not evaluated or assessed in each of the developmental areas as required in §303.322(c) (3) (ii).	Aug 2006	No	This was a <i>systemic</i> problem. Regions could not correct this finding of noncompliance without state level action to standardize procedures for assessment in all domains using approved curriculum-based assessment tools. All children assessed after this date were assessed in all five domains. This was verified through routine record reviews by system managers and regional consultants conducted monthly.
Region 4 (Pee Dee District)	11/04	Infants and toddlers with IFSPs did not receive early intervention services on their IFSPs in a timely manner as required in 303.525	--	No	Correction activities in progress include consultation and technical assistance by regional consultants, and program managers and methods described in APR for Indicator 7 .
Region 4 (Pee Dee District)	11/04	The evaluation and initial assessment of each child (including the family assessment) is not completed within the 45-day time period required in §303.321(e). Edisto-Savannah did not ensure that IFSP meetings were held to develop the initial IFSP within the 45 day time period required in accordance with §303.342.	June 2008	No	Steady improvement in performance resulting in substantial compliance on Indicator 7 . FFY 2004 47% FFY 2005 88% FFY 2006 93% FFY 2007 96%
Region 4 (Pee Dee District)	11/04	IFSPs did not include steps to be taken to transition children to preschool or other appropriate service as required in §303.344 (h).	08/01/06	No	This was a <i>systemic</i> problem requiring statewide procedural and IFSP form updates. The new form includes a specific section for documenting transition plans beginning with initial IFSP. Subsequent verification through regular record reviews by system manager and regional consultant during FFY 2006 and continuing in FFY 2007.
Region 4 (Pee Dee District)	11/04	Pee Dee did not convene a transition conference for children potentially eligible for Part B not less than 90 days before the child was eligible for preschool services as required under §303.148(2) (i)	--	No	Current (FFY 2007) performance at statewide average of 83%. FFY 2004 73% FFY 2005 83% FFY 2006 78% FFY 2007 83% Correction activities in progress include consultation and technical assistance by regional consultants and program managers and methods described in APR for Indicator 8c .

Identification/Notification of Non-Compliance			CORRECTION		EXPLANATION
Region	Date	Finding	Date Corrected	≤ 1 year	
Region 4 (Pee Dee District)	11/04	The agency did not ensure that each child was evaluated or assessed in each of the developmental areas as required in §303.322(c) (3) (ii).	08/01/06	No	This was a <i>systemic</i> problem. Regions could not correct this finding of noncompliance without state level action to standardize procedures for assessment in all domains using approved curriculum-based assessment tools. All children assessed after this date were assessed in all five domains. This was verified through routine record reviews by system managers and regional consultants conducted monthly.
Region 3 (Palmetto District)	02/17/05	Infants and toddlers with IFSPs did not receive early intervention services on their IFSPs in a timely manner. §303.525	--	No	Current (FFY 2007) performance above state average of 73%. FFY 2004 86% FFY 2005 89% FFY 2006 88% FFY 2007 83% Correction activities in progress include consultation and technical assistance by regional consultants, and program managers and methods described in APR for Indicator 1 .
Region 3 (Palmetto District)	02/17/05	IFSPs did not include steps to be taken to transition children to preschool or other appropriate service as required in §303.344 (h).	08/01/06	No	This was a <i>systemic</i> problem requiring statewide procedural and IFSP form updates. The new form includes a specific section for documenting transition plans beginning with initial IFSP. Subsequent verification through regular record reviews by system manager and regional consultant during FFY 2006 and continuing in FFY 2007.
Region 3 (Palmetto District)	02/17/05	Palmetto did not convene a transition conference for children potentially eligible for Part B not less than 90 days before the child was eligible for preschool services as required under §303.148(2) (i)	--	No	Steady performance on this indicator FFY 2005-FFY 2007. Current (FFY 2007) performance above statewide average of 83%. FFY 2004 82% FFY 2005 82% FFY 2006 81% FFY 2007 87% Correction activities in progress include consultation and technical assistance by regional consultants and program managers and methods described in APR for Indicator 8c .
Region 3 (Palmetto District)	02/17/05	The agency did not ensure that each child was evaluated or assessed in each of the developmental areas as required in §303.322(c) (3) (ii).	08/01/06	No	This was a <i>systemic</i> problem. Regions could not correct this finding of noncompliance without state level action to standardize procedures for assessment in all domains using approved curriculum-based assessment tools. All children assessed after this date were assessed in all five domains. This was verified through routine record reviews by system managers and regional consultants conducted monthly.
Region 5 (Edisto-Savannah)	05/18/05	Infants and toddlers with IFSPs did not receive early intervention services on their IFSPs in a timely manner. §303.525 and (f)		No	Verification date: 06/30/07 Uneven performance on this indicator FFY 2005-FFY 2007. Current (FFY 2007) performance above statewide average of 73%. FFY 2004 84% FFY 2005 79% FFY 2006 86% FFY 2007 86% Correction activities in progress include consultation and technical assistance by regional consultants and program managers and methods described in APR for Indicator 1 .

Identification/Notification of Non-Compliance			CORRECTION		EXPLANATION
Region	Date	Finding	Date Corrected	≤ 1 year	
Region 5 (Edisto-Savannah)	05/18/05	The evaluation and initial assessment of each child (including the family assessment) is not completed within the 45-day time period required in §303.321(e). Edisto-Savannah did not ensure that IFSP meetings were held to develop the initial IFSP within the 45 day time period required in accordance with §303.342.	06/30/08	No	Steady improvement in performance resulting in substantial compliance on Indicator 7 . FFY 2004 47% FFY 2005 88% FFY 2006 93% FFY 2007 96%
Region 5 (Edisto-Savannah)	05/18/05	IFSPs did not include steps to be taken to transition children to preschool or other appropriate service as required in §303.344 (h).	08/01/06	No	This was a <i>systemic</i> problem requiring statewide procedural and IFSP form updates. The new form includes a specific section for documenting transition plans beginning with initial IFSP. Subsequent verification through regular record reviews by system manager and regional consultant during FFY 2006 and continuing in FFY 2007.
Region 5 (Edisto-Savannah)	05/18/05	Edisto Savannah did not convene a transition conference for children potentially eligible for Part B not less than 90 days before the child was eligible for preschool services as required under §303.148(2) (i)	--	No	Current (FFY 2007) performance above statewide average of 83%. FFY 2004 76% FFY 2005 84% FFY 2006 88% FFY 2007 89% Correction activities in progress include consultation and technical assistance by regional consultants and program managers and methods described in APR for Indicator 8c.
Region 5 (Edisto-Savannah)	05/18/05	The agency did not ensure that each child was evaluated or assessed in each of the developmental areas as required in §303.322(c) (3) (ii).	08/01/06	No	This was a <i>systemic</i> problem. Regions could not correct this finding of noncompliance without state level action to standardize procedures for assessment in all domains using approved curriculum-based assessment tools. All children assessed after this date were assessed in all five domains. This was verified through routine record reviews by system managers and regional consultants conducted monthly.

Identification/Notification of Non-Compliance			CORRECTION		EXPLANATION
Region	Date	Finding	Date Corrected	≤ 1 year	
Region 4 (Waterlee District / Sumter)	08/10/05	Infants and toddlers with IFSPs did not receive early intervention services on their IFSPs in a timely manner. §303.525(d) and (f)		No	Current (FFY 2007) performance above statewide average of 73%. FFY 2004 89% FFY 2005 91% FFY 2006 81% FFY 2007 87% Correction activities in progress include consultation and technical assistance by regional consultants and program managers and methods described in APR for Indicator 1 .
Region 4 (Waterlee District / Sumter)	08/10/05	The evaluation and initial assessment of each child (including the family assessment) is not completed within the 45-day time period required in §303.321(e).	06/30/07	No	Steady improvement in performance on Indicator 7 resulting in substantial compliance by FFY 2006 and sustained in FFY 2007. FFY 2004 79% FFY 2005 85% FFY 2006 97% FFY 2007 98%
Region 4 (Waterlee District / Sumter)	08/10/05	Waterlee did not convene a transition conference for children potentially eligible for Part B not less than 90 days before the child was eligible for preschool services as required under §303.148(2) (i)	--	No	Improving performance on this indicator FFY 2005-FFY 2007. Current (FFY 2007) performance at statewide average. FFY 2004 73% FFY 2005 71% FFY 2006 79% FFY 2007 83% Correction activities in progress include consultation and technical assistance by regional consultants and program managers and methods described in APR for Indicator 8c.
Region 4 (Waterlee District / Sumter)	08/10/05	The agency did not ensure that each child was evaluated or assessed in each of the developmental areas as required in §303.322(c) (3) (ii).	08/01/06	No	This was a <i>systemic</i> problem. Regions could not correct this finding of noncompliance without state level action to standardize procedures for assessment in all domains using approved curriculum-based assessment tools. All children assessed after this date were assessed in all five domains. This was verified through routine record reviews by system managers and regional consultants conducted monthly.
Region 2 (Appalachia III District)	08/10/05	Infants and toddlers with IFSPs did not receive early intervention services on their IFSPs in a timely manner. §303.524 (d) and (f)	--	No	Uneven performance on this indicator FFY 2005-FFY 2007. Current (FFY 2007) performance below statewide average of 73%. FFY 2004 76% FFY 2005 66% FFY 2006 61% FFY 2007 54% Correction activities in progress include consultation and technical assistance by regional consultants and program managers and methods described in APR for Indicator 1.
Region 2 (Appalachia III District)	08/10/05	The evaluation and initial assessment of each child (including the family assessment) is not completed within the 45-day time period required in §303.321(e).	--	No	Steady improvement in performance on Indicator 7. FFY 2004 41% FFY 2005 52% FFY 2006 87% FFY 2007 91%

Identification/Notification of Non-Compliance			CORRECTION		EXPLANATION
Region	Date	Finding	Date Corrected	≤ 1 year	
Region 2 (Appalachia III District)	08/10/05	IFSPs did not include steps to be taken to transition children to preschool or other appropriate service as required in §303.344 (h).	08/01/06	Yes	Statewide implementation of revised IFSP form requiring documentation of transition plans beginning with initial IFSP. Verified by record reviews by system manager and regional consultant during FFY 2006 and FFY 2007.
Region 2 (Appalachia III District)	08/10/05	Appalachia III did not convene a transition conference for children potentially eligible for Part B not less than 90 days before the child was eligible for preschool services as required under §303.148(2) (i)	--	No	Verification date: 06/30/07 Improving performance on this indicator FFY 2005-FFY 2007. Current (FFY 2007) performance above statewide average of 83%. FFY 2004 52% FFY 2005 38% FFY 2006 54% FFY 2007 73% Correction activities in progress include consultation and technical assistance by regional consultants and program managers and methods described in APR for Indicator 8c.
Region 2 (Appalachia III District)	08/10/05	The agency did not ensure that each child was evaluated or assessed in each of the developmental areas as required in §303.322(c) (3) (ii).	08/01/06	No	This was a <i>systemic</i> problem. Regions could not correct this finding of noncompliance without state level action to standardize procedures for assessment in all domains using approved curriculum-based assessment tools. All children assessed after this date were assessed in all five domains. This was verified through routine record reviews by system managers and regional consultants conducted monthly.
Region 2 (Appalachia II District)	08/10/05	Infants and toddlers with IFSPs did not receive early intervention services on their IFSPs in a timely manner. §303.525 (d) and (f)	--	No	Uneven performance on this indicator FFY 2005-FFY 2007. Current (FFY 2007) performance below statewide average of 73%. FFY 2004 76% FFY 2005 66% FFY 2006 61% FFY 2007 54% Correction activities in progress include consultation and technical assistance by regional consultants and program managers and methods described in APR for Indicator 1 .
Region 2 (Appalachia II District)	08/10/05	The evaluation and initial assessment of each child (including the family assessment) is not completed within the 45-day time period required in §303.321(e). Appalachia II did not ensure that IFSP meetings were held to develop the initial IFSP within the 45 day time period required in accordance with §303.342.	06/30/08	No	Steady improvement in performance resulting in substantial compliance on Indicator 7. FFY 2004 56% FFY 2005 39% FFY 2006 62% FFY 2007 96%
Region 2 (Appalachia II District)	08/10/05	IFSPs did not include steps to be taken to transition children to preschool or other appropriate service as required in §303.344 (h). (Indicator 8)	08/01/06	No	This was a <i>systemic</i> problem requiring statewide procedural and IFSP form updates. The new form includes a specific section for documenting transition plans beginning with initial IFSP. Subsequent verification through regular record reviews by system manager and regional consultant during FFY 2006 and continuing in FFY 2007.

Identification/Notification of Non-Compliance			CORRECTION		EXPLANATION
Region	Date	Finding	Date Corrected	≤ 1 year	
Region 2 (Appalachia II District)	08/10/05	Appalachia II did not convene a transition conference for children potentially eligible for Part B not less than 90 days before the child was eligible for preschool services as required under §303.148(2) (i)	--	No	Improving performance on this indicator FFY 2005-FFY 2007. Current (FFY 2007) performance above statewide average of 83%. FFY 2004 52% FFY 2005 38% FFY 2006 54% FFY 2007 73% Correction activities in progress include consultation and technical assistance by regional consultants and program managers and methods described in APR for Indicator 8c.
Region 2 (Appalachia II District)	08/10/05	The agency did not ensure that each child was evaluated or assessed in each of the developmental areas as required in §303.322(c) (3) (ii).	08/01/06	Yes	This was a <i>systemic</i> problem. Regions could not correct this finding of noncompliance without state level action to standardize procedures for assessment in all domains using approved curriculum-based assessment tools. All children assessed after this date were assessed in all five domains. This was verified through routine record reviews by system managers and regional consultants conducted monthly.
Region 1 (Upper Savannah District)	05/31/06	The evaluation and initial assessment of each child (including the family assessment) is not completed within the 45-day time period required in §303.321(e). Upper Savannah did not ensure that IFSP meetings were held to develop the initial IFSP within the 45-day time period required in accordance with §303.342 (e).	06/30/08	No	Verification date: 06/30/07 Steady improvement in performance resulting in substantial compliance on Indicator 7. FFY 2004 59% FFY 2005 88% FFY 2006 91% FFY 2007 96%
Region 1 (Upper Savannah District)	05/31/06	IFSPs did not include steps to be taken to transition children to preschool or other appropriate service as required in §303.344 (h).	08/01/06	Yes	This was a <i>systemic</i> problem requiring statewide procedural and IFSP form updates. The new form includes a specific section for documenting transition plans beginning with initial IFSP. Subsequent verification through regular record reviews by system manager and regional consultant during FFY 2006 and continuing in FFY 2007.
Region 1 (Upper Savannah District)	05/31/06	Upper Savannah did not convene a transition conference for children potentially eligible for Part B not less than 90 days before the child was eligible for preschool services as required under §303.148(2) (i)	--	No	Current (FFY 2007) performance above statewide average of 83%. FFY 2004 79% FFY 2005 84% FFY 2006 81% FFY 2007 88% Correction activities in progress include consultation and technical assistance by regional consultants and program managers and methods described in APR for Indicator 8c .
Region 1 (Upper Savannah District)	05/31/06	The agency did not ensure that each child was evaluated or assessed in each of the developmental areas as required in §303.322(c) (3) (ii).	08/01/06	Yes	This was a <i>systemic</i> problem. Regions could not correct this finding of noncompliance without state level action to standardize procedures for assessment in all domains using approved curriculum-based assessment tools. All children assessed after this date were assessed in all five domains. This was verified through routine record reviews by system managers and regional consultants conducted monthly.

Identification/Notification of Non-Compliance FFY 2006			CORRECTION		NOTES
Region	Date	Finding	Date	≤ 1 year	
Region 6 (Waccamaw District)	08/15/06	Infants and toddlers with IFSPs did not receive early intervention services on their IFSPs in a timely manner. §303.525 (d) and (f)	--	No	Uneven performance on this indicator FFY 2005-FFY 2007. Current (FFY 2007) performance slightly above statewide average of 73%. FFY 2004 70% FFY 2005 82% FFY 2006 75% FFY 2007 78% Correction activities in progress include consultation and technical assistance by regional consultants and program managers and methods described in APR for Indicator 1 .
Region 6 (Waccamaw District)	08/15/06	The evaluation and initial assessment of each child (including the family assessment) is not completed within the 45-day time period required in §303.321(e). Waccamaw did not ensure that IFSP meetings were held to develop the initial IFSP within the 45 day time period required in accordance with §303.342.	--	No	Poor performance but, significant improvement FFY 2004 to FFY 2007 FFY 2004 23% FFY 2005 24% FFY 2006 57% FFY 2007 71% Correction activities in progress include consultation and technical assistance by regional consultants and program managers and methods described in APR for Indicator 7.
Region 6 (Waccamaw District)	08/15/06	IFSPs did not include steps to be taken to transition children to preschool or other appropriate service as required in §303.344 (h).	08/01/06	Yes	This was a <i>systemic</i> problem requiring statewide procedural and IFSP form updates. The new form includes a specific section for documenting transition plans beginning with initial IFSP. Subsequent verification through regular record reviews by system manager and regional consultant during FFY 2006 and continuing in FFY 2007.
Region 6 (Waccamaw District)	08/15/06	The agency did not ensure that each child was evaluated or assessed in each of the developmental areas as required in §303.322(c) (3) (ii).	08/01/06	Yes	This was a <i>systemic</i> problem. Regions could not correct this finding of noncompliance without state level action to standardize procedures for assessment in all domains using approved curriculum-based assessment tools. All children assessed after this date were assessed in all five domains. This was verified through routine record reviews by system managers and regional consultants conducted monthly.
Region 6 (Waccamaw District)	08/15/06	Waccamaw did not convene a transition conference for children potentially eligible for Part B not less than 90 days before the child was eligible for preschool services as required under §303.148(2) (i)	--	No	Current (FFY 2007) performance below statewide average of 83%. FFY 2004 84% FFY 2005 63% FFY 2006 73% FFY 2007 78% Correction activities in progress include consultation and technical assistance by regional consultants and program managers and methods described in APR for Indicator 8c.

Identification/Notification of Non-Compliance FFY 2007			CORRECTION		NOTES
Region	Date	Finding	Date	≤ 1 year	
Region 8	03/19/08	Infants and toddlers with IFSPs did not receive early intervention services on their IFSPs in a timely manner 303.525	--	Pending	Corrective actions, including those listed in FFY 2007 APR/SPP, in process
Region 2	05/20/08	The evaluation and initial assessment of each child (including the family assessment) is not completed within the 45 day time period required in 303.342	6/30/08	Yes	Regional performance on Indicator 7 reached 96% for FFY 2007. Region is in substantial compliance.
Region 2	05/20/08	Infants and toddlers with IFSPs did not receive early intervention services on their IFSPs in a timely manner. 303.525	--	Pending	Corrective actions, including those listed in FFY 2007 APR/SPP, in process
Region 2	05/20/08	IFSP do not include steps to be taken to support Transitions to preschool or other appropriate services. 303.344 (h)	--	Pending	Corrective actions, including those listed in FFY 2007 APR/SPP, in process
Region 2	05/20/08	Region 2 does not convene Transition Conferences not less than 90 days of children potentially eligible for Part B.303.148 (2)(i)	--	Pending	Corrective actions, including those listed in FFY 2007 APR/SPP, in process
Region 1	05/29/08	Infants and toddlers with IFSPs did not receive early intervention services on their IFSPs in a timely manner. 303.525	--	Pending	Corrective actions, including those listed in FFY 2007 APR/SPP, in process
Region 1	03/19/08	IFSP do not include steps to be taken to support Transitions to preschool or other appropriate services. 303.344(h)	--	Pending	Corrective actions, including those listed in FFY 2007 APR/SPP, in process
Region 1	05/29/08	Region 1 does not convene Transition Conferences not less than 90 days of children potentially eligible for Part B.303.148 (2)(i)	--	Pending	Corrective actions, including those listed in FFY 2007 APR/SPP, in process
Region 3	06/20/08	Infants and toddlers with IFSPs did not receive early intervention services on their IFSPs in a timely manner. 303.525	--	Pending	Corrective actions, including those listed in FFY 2007 APR/SPP, in process
Region 3	06/20/08	IFSP do not include steps to be taken to support Transitions to preschool or other appropriate services. 303.344(h)	--	Pending	Corrective actions, including those listed in FFY 2007 APR/SPP, in process
Region 3	06/20/08	Region 3 does not convene Transition Conferences not less than 90 days of children potentially eligible for Part B. 303.148 (2)(i)	--	Pending	Corrective actions, including those listed in FFY 2007 APR/SPP, in process